

J1057 U.S. PTO
11/29/01

Please type a plus sign (+) inside this box →

12-03-01 A

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 10807.0098.NPUS00

First Inventor or Application Identifier Joseph G. Farmerie

Title FOOD WASTE DISPOSER HAVING MECHANISM AND
METHOD FOR CREATING A WATER BAFFLE TO REDUCE
NOISE

Express Mail Label No. ET305357225US Filed November 28, 2001

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Specification [Total Pages 13]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets 9]

4. Oath or Declaration [Total Pages 2]

a. Newly executed (original or copy)

b. Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 16 completed)

i. **DELETION OF INVENTOR(S)**

Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
U.S. Patent and Trademark Office P.O. Box 2327,
Attn: Box Application, Arlington, VA 22202.

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

Accompanying Application Parts

7. Assignment Papers (cover sheet & document(s))

8. 37 C.F.R. 3.73(b) Statement Power of Attorney
(when there is an assignee)

9. English Translation Document (if applicable)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

13. *Small Entity Statement(s) Statement filed in prior application, Status still proper and desired
(PTO/SB/09-12)

14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. Other _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

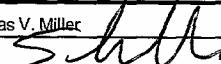
Continuation Divisional Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)	or <input checked="" type="checkbox"/> Correspondence address below				
NAME	Thomas V. Miller				
ADDRESS	Howrey Simon Arnold & White, LLP PO Box 4433				
CITY	Houston	STATE	TX	ZIP CODE	77210-4433
COUNTRY	U.S.A.	TELEPHONE	(312) 595-1239		FAX (312) 595-2250

Name (Print/Type)	Thomas V. Miller	Registration No. (Attorney/Agent)	42,002
Signature		Date	11/29/2001

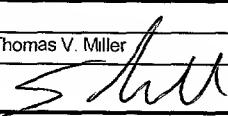
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO U.S. Patent and Trademark Office, P.O. Box 2327, Attn: Box Application, Arlington, VA 22202

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

		<i>Complete if Known</i>	
		Application Number	Unknown
		Filing Date	November 29, 2001
		First Named Inventor	Joseph G. Farmerie
		Group Art Unit	Unknown
		Examiner Name	Unknown
TOTAL AMOUNT OF PAYMENT	(\\$) 768	Attorney Docket Number	10807.0098.NPUS00

METHOD OF PAYMENT (check one)		FEES CALCULATION (continued)																																																																																																																																																																																	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 08-3038/10807.0098.NPUS00 Deposit Account Name HOWREY SIMON ARNOLD & WHITE		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>_____</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing or cover sheet.</td><td>_____</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>_____</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>_____</td></tr> <tr><td>112</td><td>920</td><td>112</td><td>920</td><td>Requesting publication of SIR prior to Examiner action</td><td>_____</td></tr> <tr><td>113</td><td>1,840</td><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td>_____</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for response within first month</td><td>_____</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for response within second month</td><td>_____</td></tr> <tr><td>117</td><td>950</td><td>217</td><td>475</td><td>Extension for response within third month</td><td>_____</td></tr> <tr><td>118</td><td>1,570</td><td>218</td><td>755</td><td>Extension for response within fourth month</td><td>_____</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td>_____</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>_____</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>_____</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>_____</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive unavoidably abandoned application</td><td>_____</td></tr> <tr><td>141</td><td>1,320</td><td>241</td><td>660</td><td>Petition to revive unintentionally abandoned application</td><td>_____</td></tr> <tr><td>142</td><td>1,320</td><td>242</td><td>660</td><td>Utility issue fee (or reissue)</td><td>_____</td></tr> <tr><td>143</td><td>450</td><td>243</td><td>225</td><td>Design issue fee</td><td>_____</td></tr> <tr><td>144</td><td>670</td><td>244</td><td>335</td><td>Plant issue fee</td><td>_____</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>_____</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>_____</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td>_____</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>790</td><td>246</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>_____</td></tr> <tr><td>149</td><td>790</td><td>249</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>_____</td></tr> <tr><td colspan="2">Other fee (specify) _____</td><td colspan="4">_____</td></tr> <tr><td colspan="2">Other fee (specify) _____</td><td colspan="4">_____</td></tr> <tr> <td colspan="2"></td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\\$) 40</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	_____	127	50	227	25	Surcharge - late provisional filing or cover sheet.	_____	139	130	139	130	Non-English specification	_____	147	2,520	147	2,520	For filing a request for reexamination	_____	112	920	112	920	Requesting publication of SIR prior to Examiner action	_____	113	1,840	113	1,840	Requesting publication of SIR after Examiner action	_____	115	110	215	55	Extension for response within first month	_____	116	400	216	200	Extension for response within second month	_____	117	950	217	475	Extension for response within third month	_____	118	1,570	218	755	Extension for response within fourth month	_____	119	310	219	155	Notice of Appeal	_____	120	310	220	155	Filing a brief in support of an appeal	_____	121	270	221	135	Request for oral hearing	_____	138	1,510	138	1,510	Petition to institute a public use proceeding	_____	140	110	240	55	Petition to revive unavoidably abandoned application	_____	141	1,320	241	660	Petition to revive unintentionally abandoned application	_____	142	1,320	242	660	Utility issue fee (or reissue)	_____	143	450	243	225	Design issue fee	_____	144	670	244	335	Plant issue fee	_____	122	130	122	130	Petitions to the Commissioner	_____	123	50	123	50	Petitions related to provisional applications	_____	126	240	126	240	Submission of Information Disclosure Stmt	_____	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	_____	149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	_____	Other fee (specify) _____		_____				Other fee (specify) _____		_____						SUBTOTAL (3) (\\$) 40			
Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																														
105	130	205	65	Surcharge - late filing fee or oath	_____																																																																																																																																																																														
127	50	227	25	Surcharge - late provisional filing or cover sheet.	_____																																																																																																																																																																														
139	130	139	130	Non-English specification	_____																																																																																																																																																																														
147	2,520	147	2,520	For filing a request for reexamination	_____																																																																																																																																																																														
112	920	112	920	Requesting publication of SIR prior to Examiner action	_____																																																																																																																																																																														
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	_____																																																																																																																																																																														
115	110	215	55	Extension for response within first month	_____																																																																																																																																																																														
116	400	216	200	Extension for response within second month	_____																																																																																																																																																																														
117	950	217	475	Extension for response within third month	_____																																																																																																																																																																														
118	1,570	218	755	Extension for response within fourth month	_____																																																																																																																																																																														
119	310	219	155	Notice of Appeal	_____																																																																																																																																																																														
120	310	220	155	Filing a brief in support of an appeal	_____																																																																																																																																																																														
121	270	221	135	Request for oral hearing	_____																																																																																																																																																																														
138	1,510	138	1,510	Petition to institute a public use proceeding	_____																																																																																																																																																																														
140	110	240	55	Petition to revive unavoidably abandoned application	_____																																																																																																																																																																														
141	1,320	241	660	Petition to revive unintentionally abandoned application	_____																																																																																																																																																																														
142	1,320	242	660	Utility issue fee (or reissue)	_____																																																																																																																																																																														
143	450	243	225	Design issue fee	_____																																																																																																																																																																														
144	670	244	335	Plant issue fee	_____																																																																																																																																																																														
122	130	122	130	Petitions to the Commissioner	_____																																																																																																																																																																														
123	50	123	50	Petitions related to provisional applications	_____																																																																																																																																																																														
126	240	126	240	Submission of Information Disclosure Stmt	_____																																																																																																																																																																														
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																														
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	_____																																																																																																																																																																														
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	_____																																																																																																																																																																														
Other fee (specify) _____		_____																																																																																																																																																																																	
Other fee (specify) _____		_____																																																																																																																																																																																	
		SUBTOTAL (3) (\\$) 40																																																																																																																																																																																	
* Reduced by Basic Filing Fee Paid																																																																																																																																																																																			

SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name	Thomas V. Miller		Reg. Number	42,002
Signature			Date	11/29/2001
			Deposit Acct. User ID	